

→ ISG Insider Trading - 10<sup>lacs</sup> higher to 25 cr of 32 gain ↑

→ IS HA fraudulent & unfair - 5 lacs to " " " " ↑

→ Investors Grievance Redressal

- within 21 calendar days of Receipt as update.

- within one year can file complaints if initially unresolved.

→ Nature of Merger

- All ADL T/F @ BV
- SH gain Proportional Shares in the T/F
- Continuity in mutual combination.

Nature of Purchase

- ADL @ FV
- Goodwill or Capital Reserve
- SH receive fixed cmsdrn without PROP. Shares.
- Treated as an acquisition.

→ Grievance Redressal Mechanism

- file with RSE Otrly

Complaints pending @ beginning of Qtr

disposed of, those received, remaining unresolved

→ Vacancy of MD/WTD - 30 days to present.

- Board - OR - 6 months

→ Secretarial Audit.

• Listed Co.

• Public Co.

PVC  $\geq 50$  Cr.

TID  $\geq 250$  Cr.

• Outstanding borrowings  $\geq 100$  Cr.

→ Insurance Premium paid for indemnity insurance included in salary → only Proved guilty.

→ Sec. 210

Investigation

CG may order investigation into affairs of Co.

1. on receipt of report by ROC

2. on intimation of SR passed by the Co.

3. Public Interest.

→ NCLT can pass interim order without any approval

→ check Gallery for Sec. 216 & 217.

→ During investigation Co. shall obtain approval of NCLT

• freezing of assets - not Exceeding 3 Years.

→ MD Service agreement need not be in writing and a clee can't compel for the same.

→ Normal independent director - 7 listed co.

MD/WTD - ID - 3 listed co.

→ ROC strike off procedure validity.

1. STK-1 Notice to co./director (30 days response)

2. NO reply - STK-5 public Notice (30 days objection)

3. No Objection - STK-7 gazette notification dissolving co.

**RESOURCE  
COMMUNITY**